



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/998,595 | 11/16/2001 | Alex Kalnitsky | 93-C-032RE(1678-42) |

30431
STMICROELECTRONICS, INC.
MAIL STATION 2346
1310 ELECTRONICS DRIVE
CARROLLTON, TX 75006



CONFIRMATION NO. 6201

FORMALITIES LETTER



OC000000007506690

Date Mailed: 02/21/2002

NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

Filing Date Granted

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$636.
 - \$468 for 26 total claims over 20.
 - \$168 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1506.**
- Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue application establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/01/2002 MBERHE 00000039 09998595

| | |
|-----------|-----------|
| 01 FC:108 | 740.00 OP |
| 02 FC:105 | 130.00 OP |
| 03 FC:110 | 468.00 OP |
| 04 FC:109 | 168.00 OP |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Original Patent

Patentees: Alex Kalnitsky
Yih-Shung Lin

Patent No.: 5,986,330

Title: ENHANCED PLANARIZATION
TECHNIQUE FOR AN
INTEGRATED CIRCUIT

Issued: November 16, 1999

Atty Dk No.: 93-C-023C3



COPY OF PAPERS
ORIGINALLY FILED

Reissue Application

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Yih-Shung Lin

Serial No.: 09/998,595

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TECHNIQUE FOR AN
INTEGRATED CIRCUIT

Filing Date: November 16, 2001

Atty Dk No.: 93-C-023RE (1678-42)

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited in the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, Box MISSING PARTS, Washington, D.C. 20231, on this 22nd day of July, 2002.


Signature

RESPONSE TO NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

In response to the Notice to File Missing Parts of Reissue Application dated February 21, 2002, Applicants submit:

- XX 1. An executed Reissue Application Declaration By The Inventors in the above identified U.S. patent application.
- XX 2. An executed Assent of Assignee.
- XX 3. An executed Certificate Under 37 C.F.R. § 3.73(b) with copy of recorded Assignment.
- XX 4. A Petition for Application Submitted Pursuant to 37 C.F.R. § 1.47(a) Where Joint Inventor Mr. Yih-Shung Lin Cannot Be Located After A Diligent Effort To Locate Him.
- XX 5. Declaration of Mary L. Hiner.
- XX 6. A copy of the NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION.

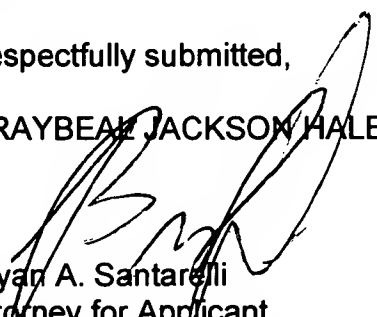
FEE COMPUTATION

| | |
|---|----------------|
| Filing Fee (\$740.00 /\$370.00) | \$740.00 |
| Surcharge Fee (\$130.00 /\$65.00) | \$130.00 |
| Extra claim fee | \$636.00 |
| TOTAL: | \$1,506.00 |

- XX 7. Check No. 18998 for \$1,506.00 filing, claim, and surcharge fees.
- XX 8. A Request for Extension of Time is enclosed with Check No. 18997 for \$920.00 extension fee.
- XX 9. Check No. 18999 for \$130.00 petition fee.
- XX 10. Please charge any additional fees or credit any overpayment to Deposit Account No. 07-1897.

Respectfully submitted,

GRAYBEAR JACKSON HALEY LLP


Bryan A. Santarelli
Attorney for Applicant
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Bellevue, WA 98004
(425) 455-5575

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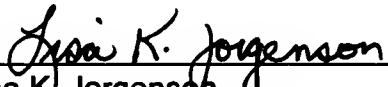

Signature

ASSENT OF ASSIGNEE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

STMicroelectronics, Inc., assignee of U.S. Patent No. 5,986,330, consents to the filing of reissue application No. 09/998,595 (or the present application, if filed with the initial application papers) for the reissue of U.S. Patent No. 5,986,330.

STMicroelectronics, Inc.



Lisa K. Jorgenson
Director of Intellectual Property
Assistant Secretary